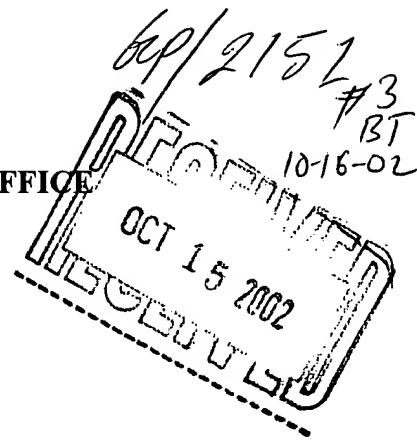




10-07-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Art Unit 2151 Examiner Unknown



In Re: Gregg Freishtat et al.
Case: P3985
Serial No.: 09/842,241
Filed: 04/24/2001
Subject: System and Method for Syndicated Transactions

To: The Commissioner of Patents and Trademarks
Washington, D.C. 20231

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Dear Sir,


Information Disclosure Statement Under 37 C.F.R. 1.97

Enclosed herewith is an Information Disclosure Statement (IDS) by Applicant listing three references received from the PCT International Search Report (copy enclosed) in case PCT/US01/13252, mailed September 6, 2001. Applicant desires that the reference be entered in the above referenced case as relevance dictates.

As prosecution has not begun in this case, in accordance with rule 1.97(a)(3), no additional fee is required. Please charge any deficiency to Deposit Account No. 50-0534.

Respectfully submitted,

Gregg Freishtat et al.
by


Donald R. Boys
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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				<i>C m p l t i f K n w n</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/842,241
				Filing Date	04/24/2001
				First Named Inventor	Gregg Freishtat
				Art Unit	2151
				Examiner Name	Unknown
				Attorney Docket Number	P3985
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5943424	08-24-1999	Berger et al.	whole document
		US- 5963924	10-05-1999	Williams et al.	whole document
		US- 5978779	11-02-1999	Stein et al.	whole document
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Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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